

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: T. BARGE et al.

Application No.: 10/069,058

Group Art Unit:

Filing Date: February 20, 2002

Examiner:

For: METHOD FOR TREATING SUBSTRATES  
FOR MICROELECTRONICS AND  
SUBSTRATES OBTAINED ACCORDING  
TO SAID METHOD

Attorney Docket No.: 4717-4600

#9 2003

M. Braun  
6/23/03

**SUBMISSION OF POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants respectfully submit herewith an executed Power of Attorney By Assignee And Exclusion Of Inventor(s) Under 37 C.F.R. 3.71 for the above-identified application. Please associate **Customer No. 28765** with the application.

No fee is believed to be due for this submission. Please any required fees to Winston & Strawn Deposit Account No. 501-814.

Respectfully submitted,

Date: 3-26-03

  
Allan A. Fanucci 30,256  
Reg. No.

**WINSTON & STRAWN  
CUSTOMER NO. 28765**

(212) 294-3311

Attachment - Copy of Assignment



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAR 28 2003

TECHNOLOGY CENTER 2800

In re Application of: T. Barge et al.

Application No.: 10/069,058

Group Art Unit:

Filing Date: August 17, 2000

Examiner:

For: METHOD FOR TREATING SUBSTRATES  
FOR MICROELECTRONICS AND  
SUBSTRATES OBTAINED ACCORDING  
TO SAID METHOD

Attorney Docket No.: 4717-4600

**POWER OF ATTORNEY BY ASSIGNEE UNDER 37 C.F.R. 3.71**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby revokes all previous powers and appoints Allan A. Fanucci (Reg. No. 30,256) of WINSTON & STRAWN (Customer No. 28765) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

Please direct all correspondence for this application to Customer No. 28765 to the attention of Allan A. Fanucci (telephone 212-294-3311, facsimile 212-294-4700).

An assignment of the entire interest in the above-identified application has been submitted on August 27, 2002 for recording and a copy is attached.

The undersigned has reviewed this assignment and, to the best of his knowledge, title is in the assignee seeking to take action in this application and that he is authorized and empowered to act on its behalf in this matter.

ASSIGNEE: S.O.I. TEC Silicon on Insulator Technologies

Signature:

Date of Signature:

25/11/02

Typed Name:

Emmanuel Huyghe

Position/Title:

Intellectual Property Manager

Address:

S.O.I. TEC Silicon on Insulator Technologies  
Parc Technologique des Fontaines - BERNIN  
38926 CROLLES Cedex - France  
Tél. + 33 (0)4 76 92 75 00  
Fax + 33 (0)4 76 92 76 60  
N° Siret 384 711 909 00034

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MAR 28 2002

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Form PTO-1595

(Rev. 03/01)

OMB No. 0851-0027 (exp. 5/31/2002)

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Thierry Barge, André Auberton-Herve, Hiroji Aga, and  
Naoto Tate

9.3.02

Additional name(s) of conveying party(ies)  
attached?☐

Yes

☒

No

## 3. Nature of Conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Name

☐

Other

Execution Date: August 12, 2002; August 12, 2002;  
August 12, 2002; August 12, 2002;  
respectively

## 2. Name and address of receiving party(ies)

Name: S.O.I.TEC SILICON ON INSULATOR  
TECHNOLOGIES

Internal Address:

Street Address:

Parc Technologique des Fontaines

City: Bernin

State: France

Zip: F-38190

Additional name(s) &  
address(es) attached:☐

Yes

☒

No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

10/069,058

B. Patent No.(s):

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of party to whom correspondence  
concerning document should be mailed:Name: Arnold H. Krumholz  
LERNER, DAVID, LITTENBERG, KRUMHOLZ &  
MENTLIK, LLP

Internal Address:

Street Address: 600 South Avenue West

City:  
WestfieldState:  
NJZip:  
070906. Total number of applications and  
patents involved:

## 7. Total fee (37 CFR 3.41)

\$ 40.00

☐

Enclosed

☒

Authorized to be charged to deposit account

☐Authorized to be charged to credit card  
(Form 2038 enclosed)

## 8. Deposit account number:

12-1095

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy  
is a true copy of the original document.*

Arnold H. Krumholz

Name of Person Signing

Signature

August 27, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 5

09/05/2002 LMUELLER 00000279 121095 10069058

01 FC:581

40.00 CH

385485

## ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

REGIM-010

Whereas, I, Thierry Barge of  
38, rue Félix Esclançon; 38000 Grenoble; FRANCE

hereafter referred to as assignor, have with others invented certain new and useful improvements in  
**METHOD FOR TREATING SUBSTRATES FOR MICROELECTRONICS AND SUBSTRATES  
OBTAINED ACCORDING TO SAID METHOD**

- ☐ for which an application for a United States Letters Patent was filed on \_\_\_\_\_  
Application Number \_\_\_\_\_
- ☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_
- ☒ for which an International Application was filed on 17 August 2000, as  
PCT/FR00/02330, designating the United States.

And

Whereas, S.O.I.TEC SILICON ON INSULATOR TECHNOLOGIES

a corporation of herein referred to as "assignee" whose mailing address is  
Parc Technologique des Fontaines; F-38190 Bernin; FRANCE

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum

of one dollars (\$ 1.00 ), the receipt whereof  
is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said  
assignee my entire right, title and interest for the United States of America and all other countries in and to said  
invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue  
applications and patents applied for or granted therefor in the United States of America and all other countries, for  
said invention, including without limitation all applications and patents for said invention claiming priority or benefit of  
the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit  
and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said  
improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the  
undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further  
remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters  
Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said  
assignee or its representatives all facts known to the undersigned respecting said improvements, whenever  
requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may  
become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid  
assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the  
expenses incident to said applications to be borne and paid by said assignee.

August 12, 2002

Date

Thierry Barge  
Witness

E. NOYELLE  
Typed Name of Witness

Thierry Barge

☒ Total of 4 forms are submitted.

<b>ASSIGNMENT OF UTILITY APPLICATION</b>	Docket Number (Optional) <b>REGIM-010</b>
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Whereas, I, André Auberton-Herve of  
94, avenue de Karben; 38120 St. Egreve; FRANCE  
hereafter referred to as assignor, have with others invented certain new and useful improvements in  
**METHOD FOR TREATING SUBSTRATES FOR MICROELECTRONICS AND SUBSTRATES  
OBTAINED ACCORDING TO SAID METHOD**

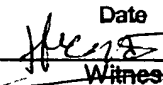
☐ for which an application for a United States Letters Patent was filed on \_\_\_\_\_  
Application Number \_\_\_\_\_


☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_

☒ for which an International Application was filed on 17 August 2000, as  
PCT/FR00/02330, designating the United States.

And

Whereas, S.O.I.TEC SILICON ON INSULATOR TECHNOLOGIES  
a corporation of herein referred to as "assignee" whose mailing address is  
Parc Technologique des Fontaines; F-38190 Bernin; FRANCE  
is desirous of acquiring the entire right, title and interest in the same;  
NOW, THEREFORE, in consideration of the sum  
of one dollars (\$ 1.00 ), the receipt whereof  
is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said  
assignee my entire right, title and interest for the United States of America and all other countries in and to said  
invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue  
applications and patents applied for or granted therefor in the United States of America and all other countries, for  
said invention, including without limitation all applications and patents for said invention claiming priority or benefit  
of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit  
and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said  
improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the  
undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further  
remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters  
Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said  
assignee or its representatives all facts known to the undersigned respecting said improvements, whenever  
requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may  
become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid  
assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the  
expenses incident to said applications to be borne and paid by said assignee.

August 12, 2002  
Date  
  
Witness  
G. HUYGHE  
Typed Name of Witness

  
André Auberton-Herve

☒ \*Total of 4 forms are submitted.

<b>ASSIGNMENT OF UTILITY APPLICATION</b>	Docket Number (Optional) <b>REGIM-010</b>
<p>Whereas, I, <u>Hiroji Aga</u> of <u>3-12-37-B-115 Isobe, Annaka Gunma 379-0127, JAPAN</u></p> <p>hereafter referred to as assignor, have with others invented certain new and useful improvements in  <b>METHOD FOR TREATING SUBSTRATES FOR MICROELECTRONICS AND SUBSTRATES OBTAINED ACCORDING TO SAID METHOD</b></p> <p> <input type="checkbox"/> for which an application for a United States Letters Patent was filed on _____          Application Number _____       </p> <p> <input type="checkbox"/> for which an application for a United States Letters Patent was executed by me on _____       </p> <p> <input checked="" type="checkbox"/> for which an International Application was filed on <u>17 August 2000</u>, as  <u>PCT/FR00/02330</u>, designating the United States.       </p> <p>And</p> <p>Whereas, <u>S.O.I.TEC SILICON ON INSULATOR TECHNOLOGIES</u>          a corporation of herein referred to as "assignee" whose mailing address is  <u>Parc Technologique des Fontaines; F-38190 Remin; FRANCE</u>          is desirous of acquiring the entire right, title and interest in the same;          NOW, THEREFORE, in consideration of the sum          of <u>one</u> dollars (\$ <u>1.00</u> ), the receipt whereof          is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said          assignee my entire right, title and interest for the United States of America and all other countries in and to said          invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue          applications and patents applied for or granted therefor in the United States of America and all other countries, for          said invention, including without limitation all applications and patents for said invention claiming priority or benefit          of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit          and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said          improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the          undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further          remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters          Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said          assignee or its representatives all facts known to the undersigned respecting said improvements, whenever          requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may          become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid          assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the          expenses incident to said applications to be borne and paid by said assignee.       </p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 40%;"> <p><u>August 12, 2002</u>  <u>X. Mitani</u>          Date          Witness  <u>Kiyoshi Mitani</u>          Typed Name of Witness</p> </div> <div style="width: 40%; text-align: center;"> <p><u>Hiroji Aga</u>          Hiroji Aga</p> </div> </div>	
<input checked="" type="checkbox"/> Total of <u>4</u> forms are submitted.	

<b>ASSIGNMENT OF UTILITY APPLICATION</b>	Docket Number (Optional) <b>REGIM-010</b>
--	--

Whereas, I, Naoto Tate of 3315-10 Itahana; Annaka Gunma 379-0111; JAPAN

hereafter referred to as assignor, have with others invented certain new and useful Improvements in **METHOD FOR TREATING SUBSTRATES FOR MICROELECTRONICS AND SUBSTRATES OBTAINED ACCORDING TO SAID METHOD**

☐ for which an application for a United States Letters Patent was filed on \_\_\_\_\_  
 Application Number \_\_\_\_\_

☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_

☒ for which an International Application was filed on 17 August 2000, as PCT/FR00/02330, designating the United States.

And

Whereas, S.O.I.TEC SILICON ON INSULATOR TECHNOLOGIES a corporation of herein referred to as "assignee" whose mailing address is Parc Technologique des Fontaines; F-38190 Bémilly; FRANCE

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

August 12, 2002

Date

K. Chintan

Witness

Kiyoshi Mitani

Typed Name of Witness

Naoto Tate

Naoto Tate

☒ Total of 4 forms are submitted.